



BOUSQUET HOLSTEIN PLLC

# Alert

## Public Finance Practice Group

### LEGAL ALERT UPDATE Rule 15c2-12

Syracuse, New York

September 2018

The amendments to Rule 15c2-12 of the Securities Exchange Act of 1934 (the “Rule”) announced by the Securities and Exchange Commission (“SEC”) on August 20, 2018 were published in the Federal Register on August 31, 2018.

Compliance with the changes will be required as of February 27, 2019.

You can find the official publication of the amendments at <https://www.gpo.gov/fdsys/pkg/FR-2018-08-31/pdf/2018-18279.pdf>

You can find our prior legal alert on the amendments to the Rule at: <http://bhlawpllc.com/publication/amendment-to-rule-15c2-12-expands-continuing-disclosure-obligations-to-financial-obligations-undertaken-in-direct-placements/>

If you have any questions with respect to the amendment, please contact Susan Katzoff at [skatzoff@bhlawpllc.com](mailto:skatzoff@bhlawpllc.com); Jean Everett at [jeverett@bhlawpllc.com](mailto:jeverett@bhlawpllc.com) or Natalie Hempson-Elliott at [nhempson@bhlawpllc.com](mailto:nhempson@bhlawpllc.com).

### Bousquet Holstein Public Finance Practice Group

The team of professionals in the Bousquet Holstein Public Finance Group has broad and deep experience assisting our clients in delivering and taking advantage of tax incentives offered by the Internal Revenue Code and New York State law to encourage and support projects that serve the public, promote economic development and advance charitable and public purposes. These incentives include tax-exempt bonds, tax credits and the abatement of real property, sales and use and mortgage recording taxes. If we can provide you with additional insight and information regarding changes to the law please contact:

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